State: District of Columbia Filing Company: New York Life Insurance Company

TOI/Sub-TOI: A08G Group Annuities - Unallocated/A08G.002 GIC

**Product Name:** Officer Signature Change

Project Name/Number: /

### Filing at a Glance

Company: New York Life Insurance Company

Product Name: Officer Signature Change

State: District of Columbia

TOI: A08G Group Annuities - Unallocated

Sub-TOI: A08G.002 GIC

Filing Type: Form

Date Submitted: 09/01/2015

SERFF Tr Num: NYGA-130228511

SERFF Status: Pending Industry Response

State Tr Num:

State Status:

Co Tr Num: OFFICER SIGNATURE CHANGE

Implementation On Approval

Date Requested:

Author(s): Cindy Cristello

Reviewer(s): John Rielley (primary)

Disposition Date:
Disposition Status:
Implementation Date:

SERFF Tracking #: NYGA-130228511 State Tracking #:

Company Tracking #: OFFICER SIGNATURE CHANGE

State: District of Columbia Filing Company: New York Life Insurance Company

TOI/Sub-TOI: A08G Group Annuities - Unallocated/A08G.002 GIC

Product Name: Officer Signature Change

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### **General Information**

Project Name: Status of Filing in Domicile: Authorized **Project Number:** Date Approved in Domicile: 08/26/2015

Domicile Status Comments: This change has been placed on Requested Filing Mode: Informational

file for informational purposes by our state of domicle.

Explanation for Combination/Other: Market Type: Group

Submission Type: New Submission Group Market Size: Small and Large

Group Market Type: Employer, Association, Trust Overall Rate Impact:

Filing Status Changed: 09/03/2015

State Status Changed: Deemer Date:

Created By: Cindy Cristello Submitted By: Cindy Cristello

Corresponding Filing Tracking Number:

Filing Description: August 27, 2015

To Whom It May Concern:

This informational filing serves to advise you of a change to the signature block of New York Life's previously approved contract forms to reflect the new President of New York Life. A list of our contract forms currently available for issue and approved in our state of domicile (New York) is attached in the supporting documentation tab. The list includes forms which may or may not have been submitted and/or approved for use in your state; however, please note that no forms have been disapproved or withdrawn from use in your state. If a form was not submitted for filing/approval it is because it is not currently being offered for issue in your state.

The original cover page of each contract form is being revised in order to remove the signature of Theodore Mathas and replace it with the signature of John Kim. The signature block for some of these forms inadvertently may not have been bracketed.

New York Life respectfully requests that you acknowledge this filing at your earliest convenience. If you have any questions or need additional information, please contact me immediately at 1-800-695-8744, extension 4741.

Sincerely, Cynthia H. Cristello

# **Company and Contact**

**Filing Contact Information** 

Cindy Cristello, cindy\_cristello@nylim.com 973-394-4741 [Phone] 169 Lackawanna Avenue Parsippany, NJ 07054

State: District of Columbia Filing Company: New York Life Insurance Company

TOI/Sub-TOI: A08G Group Annuities - Unallocated/A08G.002 GIC

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**Filing Company Information** 

New York Life Insurance Company CoCode: 66915 State of Domicile: New York

51 Madison Avenue Group Code: 826 Company Type:
New York, NY 10010 Group Name: State ID Number:

(800) 695-8744 ext. [Phone] FEIN Number: 13-5582869

## **Filing Fees**

Fee Required? No Retaliatory? No

Fee Explanation:

State: District of Columbia Filing Company: New York Life Insurance Company

TOI/Sub-TOI: A08G Group Annuities - Unallocated/A08G.002 GIC

**Product Name:** Officer Signature Change

Project Name/Number: /

# **Correspondence Summary**

### **Objection Letters and Response Letters**

Objection Letters

Status	Created By	Created On	Date Submitted
Pending Industry Response	John Rielley	09/03/2015	09/03/2015

Response Letters

Responded By Created On Date Submitted

**Filing Notes** 

Subject	Note Type	Created By	Created On	Date Submitted
Withdraw filing	Note To Reviewer	Cindy Cristello	09/08/2015	09/08/2015

State: District of Columbia Filing Company: New York Life Insurance Company

TOI/Sub-TOI: A08G Group Annuities - Unallocated/A08G.002 GIC

**Product Name:** Officer Signature Change

Project Name/Number: /

### **Objection Letter**

Objection Letter Status Pending Industry Response

Objection Letter Date 09/03/2015 Submitted Date 09/03/2015 Respond By Date 09/10/2015

Dear Cindy Cristello,

### Introduction:

If it was not bracketed then submit the cover page for approval.

#### Conclusion:

Sincerely, John Rielley

State: District of Columbia Filing Company: New York Life Insurance Company

TOI/Sub-TOI: A08G Group Annuities - Unallocated/A08G.002 GIC

**Product Name:** Officer Signature Change

Project Name/Number: /

### **Note To Reviewer**

Created By:

Cindy Cristello on 09/08/2015 02:54 PM

Last Edited By:

Cindy Cristello

**Submitted On:** 

09/08/2015 02:54 PM

Subject:

Withdraw filing

#### **Comments:**

Thank you for clarifying what is needed for this filing. New York Life would like to withdraw this filing at this time. Once the required information is assembled, we will re-submit.

SERFF Tracking #: NYGA-130228511 State Tracking #: OFFICER SIGNATURE CHANGE

State: District of Columbia Filing Company: New York Life Insurance Company

TOI/Sub-TOI: A08G Group Annuities - Unallocated/A08G.002 GIC

**Product Name:** Officer Signature Change

Project Name/Number: /

# **Supporting Document Schedules**

Satisfied - Item:	Officer Signature Change Form List
Comments:	
Attachment(s):	Officer Signature Change Form List.pdf
Item Status:	
Status Date:	

#### Form #

PSA-45 (FUNDING AGREEMENT 529) PSA-45(POOLED SA) - 2 GIA-GEN.-2 GIA NON-QUAL.-2 GP-SVPSA-GEN.-3 FA-4(G) (Rev. 12/12) MTN **GIC-REVERSE EQUALIZER GP-TFNQ-ER GP-TFNQ-ER-CERT GP-TFNQ-EE COMMINGLED SYN GIC - 2 GP-GIC-POOL-REVERSE EQUALIZER COMMINGLED SYN GIC - VAN** PSA-45(POOLED SA) - Inv Ad PSA-45(POOLED SA) - Rev. 11/10 SYN GIC (SINGLE CLIENT) GP-GIC-POOL-EQ GP-GIC-EQ GP-GIC-GEN-OW-1 (Rev.)-JNJ GP-GIC-GEN-OW-1 (Rev.)-APPDX-JNJ FA-2(Rev. 3/08) FA-2-APPDX FA-8 (FHLBNY) FA-8 (FHLBNY)-APPDX FA-6 (MO) FA-6 APPDX (MO) FA-2-APPDX (Allegiant) FA-2 (Allegiant) FA-7 included Appendix **FA-6 (NV)** FA-6-APPDX (NV) GP-GIC-POOL-(TRP) FA-6 FA-6-APPDX GP-GIC-POOL (Putnam) included Appendix GP-GIC-GEN.OW-1 (Putnam) included Appendix GP-GIC-GEN.-2 GP-GIC-POOL GP-GIC-GEN.OW-1/1E (Rev.) included Appendix **GP-TFCQ** GP-SPC (REV.) **GP-Multi-GIC** included Appendix GP-ALC-(Rev.) FA-1

GP-RLC-16-1 GP-RLC-15.3(F)